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# NOTICE OF ALLOWANCE AND FEE(S) DUE

25226

7590

10/17/2006

MORRISON & FOERSTER LLP 755 PAGE MILL RD PALO ALTO, CA 94304-1018

EXA	MINER
TRAN	I, BINH Q
ART UNIT	PAPER NUMBER
3748	

DATE MAILED: 10/17/2006

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/715 722	11/17/2003	Ralph A. Dalla Betta	220772010800	5024

TITLE OF INVENTION: DEVICES AND METHODS FOR REDUCTION OF NOX EMISSIONS FROM LEAN BURN ENGINES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$0	\$1000	01/17/2007

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE

### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Stop ISSUE FEE
Commissioner for Patents
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d PUBLICATION FFF (if required) Blocks 1 through 5 should be completed where

INSTRUCTIONS: This appropriate. All further indicated unless correcte maintenance fee notifica	correspondence including ad below or directed oth	or transmitting the 1550 ag the Patent, advance of the transmitting the 1550 are th	rders and notification of many specifying a new corresp	pondence address;	and/or	(b) indicating a separ	ate "FEE ADDRESS" for
CURRENT CORRESPOND	ENCE ADDRESS (Note: Use BI	ock I for any change of address)	. Fee(s	s) Transmittal. Thi	s certifi	cate cannot be used for	domestic mailings of the or any other accompanying it or formal drawing, must
25226 MORRISON & 755 PAGE MILI PALO ALTO, C			I her State addre trans	eby certify that this so Postal Service w	s Fee(s	of Mailing or Transm ) Transmittal is being icient postage for first ISSUE FEE address ) 273-2885, on the da	nission deposited with the United class mail in an envelope above, or being facsimile te indicated below.
				-			(Depositor's name)
							(Signature)
							(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
10/715,722	11/17/2003		Ralph A. Dalla Betta		2	20772010800	5024
TITLE OF INVENTION	: DEVICES AND METI	HODS FOR REDUCTIO	N OF NOX EMISSIONS F	ROM LEAN BUR	N ENG	INES	
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUI	E FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$0		\$1000	01/17/2007
EXAM	IINER	ART UNIT	CLASS-SUBCLASS				
TRAN,	BINH Q	3748	060-286000				
<ol> <li>Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).</li> <li>□ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.</li> <li>□ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.</li> </ol>			(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to				
PLEASE NOTE: Un recordation as set fort (A) NAME OF ASSI	less an assignee is ident h in 37 CFR 3.11. Comp GNEE	ified below, no assignee pletion of this form is NO	THE PATENT (print or type data will appear on the part of a substitute for filing an at (B) RESIDENCE: (CITY)	atent. If an assign assignment. and STATE OR C	OUNT	RY)	
riease check the appropr	Tate assignee category of	categories (will not be p	Annea on the patenty.	marvidua: C CC	- Porum	on or other private gro	up cititis — Government
4a. The following fee(s) are submitted:  ☐ Issue Fee ☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies			b. Payment of Fee(s): (Plea A check is enclosed. Payment by credit care The Director is hereby overpayment, to Depos	d. Form PTO-2038	is atta	ched. required fee(s), any de	· ·
5. Change in Entity Sta	tus (from status indicate	d above)	•				
a. Applicant claim	s SMALL ENTITY state	us. See 37 CFR 1.27.	b. Applicant is no long				
NOTE: The Issue Fee an interest as shown by the	d Publication Fee (if req records of the United Sta	uired) will not be accepte ites Patent and Trademar	ed from anyone other than the Office.	he applicant; a regi	stered a	ittomey or agent; or th	e assignee or other party in
Authorized Signature				Date			· · · · · · · · · · · · · · · · · · ·
Typed or printed name							
This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.							



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755 PAGE MILI			ART UNIT	PAPER NUMBER	
PALO ALTO, CA	A 94304-1018	•	3748 DATE MAILED: 10/17/200	16	

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 26 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 26 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.